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031704
U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. PTO
10/802378
22853
031704

Attorney Docket No. 111548-136408

First Inventor Krick et al.

Title AIR GRATE

Express Mail Label No. ER084884011US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 12]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

5. Oath or Declaration [Total Sheets 15]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Check No.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Art Unit: _____
 For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby Incorporated by reference.
 The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS
 Customer Number: 25943 OR Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent)	35,432
Signature			
	Date	3/17/04	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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60690

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 1004)**Complete if Known**

Application Number	filing concurrently
Filing Date	3/17/04
First Named Inventor	Krick et al.
Examiner Name	not yet assigned
Art Unit	not yet assigned
Attorney Docket No.	111548-136408

METHOD OF PAYMENT (check all that apply)
 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	500393
Deposit Account Name	Schwabe Williamson et al.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001	770	770	2001	385	385	Utility filing fee	770
1002	340	340	2002	170	170	Design filing fee	
1003	530	530	2003	265	265	Plant filing fee	
1004	770*	770*	2004	385	385	Reissue filing fee	
1005	160	160	2005	80	80	Provisional filing fee	
SUBTOTAL (1)		(\$ 770)					

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	26	-20** = 6	X 18	= 108
Independent Claims	4	- 3** = 1	X 86	= 86
Multiple Dependent				

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description
1202	18	18	2202	9	9	Claims in excess of 20
1201	86	86	2201	43	43	Independent claims in excess of 3
1203	290	290	2203	145	145	Multiple dependent claim, if not paid
1204	86	86	2204	43	43	** Reissue independent claims over original patent
1205	18	18	2205	9	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 194)				

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1051	130	130	2051	65	65	Surcharge - late filing fee or oath	
1052	50	50	2052	25	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	130	1053	130	130	Non-English specification	
1812	2,520	2,520	1812	2,520	2,520	For filing a request for ex parte reexamination	
1804	920*	920*	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1,840*	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	110	110	2251	55	55	Extension for reply within first month	
1252	420	420	2252	210	210	Extension for reply within second month	
1253	950	950	2253	475	475	Extension for reply within third month	
1254	1,480	1,480	2254	740	740	Extension for reply within fourth month	
1255	2,010	2,010	2255	1,005	1,005	Extension for reply within fifth month	
1401	330	330	2401	165	165	Notice of Appeal	
1402	330	330	2402	165	165	Filing a brief in support of an appeal	
1403	290	290	2403	145	145	Request for oral hearing	
1451	1,510	1,510	1451	1,510	1,510	Petition to institute a public use proceeding	
1452	110	110	2452	55	55	Petition to revive - unavoidable	
1453	1,330	1,330	2453	665	665	Petition to revive - unintentional	
1501	1,330	1,330	2501	665	665	Utility issue fee (or reissue)	
1502	480	480	2502	240	240	Design issue fee	
1503	640	640	2503	320	320	Plant issue fee	
1460	130	130	1460	130	130	Petitions to the Commissioner	
1807	50	50	1807	50	50	Processing fee under 37 CFR 1.17(q)	
1806	180	180	1806	180	180	Submission of Information Disclosure Stmt	
8021	40	40	8021	40	40	Recording each patent assignment per property (times number of properties)	40
1809	770	770	2809	385	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	770	2810	385	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	770	2801	385	385	Request for Continued Examination (RCE)	
1802	900	900	1802	900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

(Complete if applicable)

Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent)	35,432	Telephone	502 222 9981
Signature					
Date	3/17/04				

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